UNITED STATES SECURITIES AND EXCHANGE COMMISSION Form 144 Filer Information Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

#### 144: Filer Information

Filer CIK 0001783302 Filer CCC XXXXXXXX Is this a LIVE or TEST Filing? LIVE TEST

Submission Contact Information

Name

Phone

E-Mail Address

### 144: Issuer Information

Name of Issuer CONFLUENT, INC.

SEC File Number 001-40526

899 W. Evelyn Avenue

Mountain View Address of Issuer

CALIFORNIA

94041

800-439-3207 Phone

YING LIU Name of Person for Whose Account the Securities are To Be Sold

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Officer Relationship to Issuer

## 144: Securities Information

| Title of the Class of<br>Securities To Be Sold | Name and Address of<br>the Broker  | Number of<br>Shares or<br>Other Units<br>To Be Sold | Aggregate<br>Market<br>Value | Number of<br>Shares or<br>Other Units<br>Outstanding | Approximate Date of Sale | Securities |
|--|--|---|------------------------------|--|--------------------------|------------|
| Common   | Morgan Stanley Smith<br>Barney LLC Executive<br>Financial Services<br>1 New York Plaza<br>8th Floor<br>New York NY 10004 | 25000   | 625000.00                    | 244657840  | 07/31/2024               | NASDAQ     |

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

### 144: Securities To Be Sold

Title of the Date you Nature of Name of Is Date Amount of Date of Nature of

| Class  | Acquired     | Acquisition<br>Transaction                 | Person from<br>Whom<br>Acquired | Donor<br>Acquired | Securities<br>Acquired | Payment       | Payment * |
|--------|--------------|--|---------------------------------|-------------------|------------------------|---------------|-----------|
| Common | 07/31/2024 o | Exercise of options under a egistered plan | Issuer                          |                   | 25000                  | 07/31/2024 Ca | ash       |

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

| Name and Address of Seller                                 | Title of Securities Sold | Date of Securities Sold |            |
|--|--------------------------|-------------------------|------------|
| YING LIU<br>899 W. Evelyn Avenue<br>Mountain View CA 94041 | Common                   | 07/26/2024 25000        | 629220.00  |
| YING LIU<br>899 W. Evelyn Avenue<br>Mountain View CA 94041 | Common                   | 06/27/2024 50000        | 1400000.00 |
| YING LIU<br>899 W. Evelyn Avenue<br>Mountain View CA 94041 | Common                   | 05/24/2024 58289        | 1764454.66 |
| YING LIU<br>899 W. Evelyn Avenue<br>Mountain View CA 94041 | CONFLUENT                | 05/10/2024 4000         | 119754.94  |
| YING LIU<br>899 W. Evelyn Avenue<br>Mountain View CA 94041 | CONFLUENT                | 05/09/2024 4000         | 123892.50  |
| YING LIU<br>899 W. Evelyn Avenue<br>Mountain View CA 94041 | CONFLUENT                | 05/09/2024 52000        | 1614280.27 |
| YING LIU<br>899 W. Evelyn Avenue<br>Mountain View CA 94041 | CONFLUENT                | 05/09/2024 8000         | 247731.61  |
| YING LIU<br>899 W. Evelyn Avenue<br>Mountain View CA 94041 | CONFLUENT                | 05/09/2024 4000         | 123894.20  |

# 144: Remarks and Signature

Remarks

Date of Notice 07/31/2024

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ Ying Liu