SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

				. ,							
1. Name and Address of Reporting Person [*] FENTON PETER H			2. Issuer Name and Confluent, In		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
<u>FEINIUN FEIEK H</u>				,	<u> </u>			Director	Х	10%	Owner
(Last) (First) (Middle) 2965 WOODSIDE ROAD			3. Date of Earliest 1 08/18/2022	ransaction (M		Officer (give title below)	•	Othe below	r (specify w)		
				4. If Amendment, D	ate of Original	6. Individual or Joint/Group Filing (Check Applicable					
(Street)							Line)				
WOODSIDE CA 94062							Form filed by O		•		
							Form filed by More than One Reporting Person			eporting	
(City) (State) (Zip)						Feison					
		Table I -	Non-Derivat	ive Securities	Acquired,	Disposed of, or Benet	ficially	Owned			
1. Title of Security	y (Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (A) or	5. Amount of	6. Owne		7. Nature of	

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Class A Common Stock	08/18/2022		S		191,100	D	\$ 30.3911 ⁽¹⁾	987,678	Ι	See footnote ⁽²⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(* 57)**	(13) Free (1)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispe	r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/	ate	Secu Unde Deriv	unt of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on August 18, 2022. The actual sale prices ranged from a low of \$30.22 to a high of \$30.625, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.

2. Shares are held by Peter H. Fenton's trust entities.

/s/ An-Yen Hu, by power of attorney for Peter H. Fenton

<u>08/19/2022</u> Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.