| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAI

| IIP | OMB Number:              | 3235-0287 |  |  |  |  |  |
|-----|--------------------------|-----------|--|--|--|--|--|
|     | Estimated average burden |           |  |  |  |  |  |
|     | hours per response:      | 0.5       |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Buscemi Stephanie |                      |                                          |                 | Issuer Name <b>and</b> Tic<br>Confluent, Inc.                                                                                           | _                | _ `                                                      | g Symbol            |         | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)     |                                                                   |                                                     |            |  |  |
|---------------------------------------------------------------------------|----------------------|------------------------------------------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------|---------------------|---------|-----------------------------------------------------------------------------|-------------------------------------------------------------------|-----------------------------------------------------|------------|--|--|
| <u>Buscenn Ste</u>                                                        | <u>phane</u>         |                                          |                 | ,, [ [ ]                                                                                                                                |                  |                                                          |                     |         |                                                                             | Director                                                          | 10% 0                                               | Dwner      |  |  |
| (Last)<br>C/O CONFLU                                                      | (First)<br>ENT. INC. | (Middle)                                 |                 | Date of Earliest Tran                                                                                                                   | saction          | (Mont                                                    | h/Day/Year)         | X       | Officer (give title Other (spec<br>below) below)<br>Chief Marketing Officer |                                                                   |                                                     |            |  |  |
| 899 W. EVELY                                                              | 1                    |                                          | 4.              | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                                                                |                  |                                                          |                     |         |                                                                             | 6. Individual or Joint/Group Filing (Check Applicable Line)       |                                                     |            |  |  |
|                                                                           |                      |                                          |                 |                                                                                                                                         |                  |                                                          |                     |         | X                                                                           | Form filed by One Reporting Person                                |                                                     |            |  |  |
| (Street)<br>MOUNTAIN<br>VIEW                                              | СА                   | 94041                                    |                 |                                                                                                                                         |                  |                                                          |                     |         |                                                                             | Form filed by Mo<br>Person                                        | re than One Re                                      | porting    |  |  |
| V IL W                                                                    |                      |                                          |                 | Rule 10b5-1(c) Transaction Indication                                                                                                   |                  |                                                          |                     |         |                                                                             |                                                                   |                                                     |            |  |  |
| (City)                                                                    | (State)              | (Zip)                                    |                 | Check this box to indicate that a transaction was made pursuant to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Ir |                  |                                                          |                     |         |                                                                             |                                                                   |                                                     |            |  |  |
|                                                                           |                      | Table I - No                             | on-Derivativ    | e Securities Ac                                                                                                                         | quire            | d, Di                                                    | sposed of,          | , or Be | eneficially                                                                 | Owned                                                             |                                                     |            |  |  |
| Date                                                                      |                      | 2. Transaction<br>Date<br>(Month/Day/Yea | Execution Date, |                                                                                                                                         | action<br>Instr. | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, |                     |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following               | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |            |  |  |
|                                                                           |                      |                                          |                 |                                                                                                                                         | Code             | v                                                        | V Amount (A) or (D) |         | Price                                                                       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                    |                                                     | (Instr. 4) |  |  |
| Class A Commo                                                             | on Stock             |                                          | 11/21/2023      |                                                                                                                                         | s                |                                                          | 3.385(1)            | D       | \$18.73 <sup>(2)</sup>                                                      | 110,792                                                           | D                                                   |            |  |  |

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Dispe<br>of (D | r<br>osed<br>)<br>r. 3, 4 | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | Amount of |                                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|------------------------------|---|--------------------------------------------------------|---------------------------|----------------------------------------------------------------|--------------------|-----------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|
|                                                     |                                                                       |                                            |                                                             | Code                         | v | (A)                                                    | (D)                       | Date<br>Exercisable                                            | Expiration<br>Date | Title     | Amount<br>or<br>Number<br>of<br>Shares |                                                     |                                                                                                                            |                                                                          |                                                                    |

Explanation of Responses:

1. Represents the number of shares sold by the reporting person to cover the tax obligation realized upon the vesting of restricted stock units previously reported in Table I.

2. The shares were sold at prices ranging from \$18.73 to \$18.84. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

## Remarks:

| <u>/s/ Melanie Vinson, Attorney-</u> | 11/22/2022 |
|--------------------------------------|------------|
| in-fact                              | 11/22/2023 |

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).