### FORM 4

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549
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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPROVAL									
l	OMB Number:	3235-0287								
Estimated average burden										
l	hours per response.	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Buscemi Stephanie  (Last) (First) (Middle)  C/O CONFLUENT, INC.  899 W. EVELYN AVENUE						Confluent, Inc. [ CFLT ]								elationship o ck all applic Directo	able) r	g Pers	10% Ov	ner	
							of Earlie: 2023	st Trans	action (N	1onth/	Day/Year)	7	below)	er (give title 7) Chief Marketii		Other (s below) Officer	;респу		
						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)  MOUNT	ΓAIN C	A	94041			X Form filed by One Reporting Person Form filed by More than One Reporting Person													
					_ R	Rule 10b5-1(c) Transaction Indication													
(City) (State) (Zip)							X Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.												
			ble I - N			_			<u> </u>	, Dis	sposed of						1		
				2. Transaction Date (Month/Day/Year)		r) E	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4			Securitie Benefici Owned F	5. Amount of Securities Beneficially Owned Following Reported		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership	
						Code			v	Amount	(A) or (D)	Price	Transact (Instr. 3	tion(s)			(Instr. 4)		
Class A (	Common St	ock		06/01	06/01/2023				С		22,807	A	(1)	140	140,326		D		
Class A Common Stock 00				06/01	/2023				S		22,807(2)	) <b>D</b>	\$32.51	3) 117	117,519 144,712		D		
Class A Common Stock 06/02/2					2/2023	023			С		27,193	A	(1)	144			D		
Class A Common Stock 06/02/2							023				27,193 <sup>(2)</sup> D \$		\$33.48	4) 117	117,519		D		
			Table II								osed of, o			Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemo Execution if any (Month/Da	Date,	4. Transactio Code (Inst 8)				6. Date Exerc Expiration Day/Y		ate	7. Title an Amount of Securities Underlyin Derivative (Instr. 3 a	of S Ig Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e ss ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A) (D)		Date Exercisable		Expiration Date	Title	Amount or Number of Shares		(Instr. 4)	on(a)			
Stock Option (Right to Buy)	\$15.68	06/01/2023			M		22,807		(5)		03/19/2031	Class B Common Stock	22,807	\$0.00	1,014,885		D		
Class B Common Stock	(1)	06/01/2023			M		22,807		(1)	)	(1)	Class A Common Stock	22,807	\$0.00	22,80	7	D		
Class B	(1)	06/01/2022				22,007		(1)		(1)	Class A	22 907	\$0.00						

#### **Explanation of Responses:**

\$15.68

(1)

(1)

06/02/2023

06/02/2023

06/02/2023

М

м

С

1. Each share of Class B Common Stock held by the Reporting Person will automatically convert into one share of Class A Common Stock upon the sale or transfer of such share of Class B Common Stock, subject to certain exceptions, and in certain other circumstances described in the Issuer's amended and restated certificate of incorporation. Each share of Class B Common Stock will also be convertible at any time at the option of the Reporting Person into one share of Class A Common Stock.

(5)

(1)

(1)

27 193

27,193

27 193

- 2. Shares sold pursuant to a 10b5-1 trading plan.
- 3. The shares were sold at prices ranging from \$32.50 to \$32.56. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 4. The shares were sold at prices ranging from \$33.09 to \$33.89. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 5. Twenty-five percent of the shares subject to the option vested on 3/8/2022 and the balance of the shares vest monthly thereafter for the following 3 years, subject to the reporting person's continued service as of each such vesting date.

## Remarks:

Stock

Stock Option

(Right to Buy)

Class B

Common

Common

Stock

Stock Class B Stock

Class B

Stock

Class A

Commo Stock

Class A

Commo

Stock

Commo

03/19/2031

(1)

(1)

27,193

27,193

27,193

\$0.00

\$0.00

\$0.00

987 692

27 193

0

D

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.