SEC Form 4	
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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Instruction 1(D).	

FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	tion 1(b).	nue. See			Filed p	pursua	nt to S	Section 16	(a) of the	Secu	rities Excha	ange Ac	of 1934	Ļ		hours	per res	ponse:	0.5		
1. Name and Address of Reporting Person* <u>Kreps Edward Jay</u>						or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol Confluent, Inc. [ CFLT ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner						
	(Last) (First) (Middle) C/O CONFLUENT, INC. 899 W. EVELYN AVENUE					3. Date of Earliest Transaction (Month/Day/Year) 12/06/2021									X Officer (give title Other (spe below) below) Chief Executive Officer						
(Street) MOUNT VIEW	CAIN C	Â	94041			4. If Amendment, Date of Original Filed (Month/Day/Year)							Line)	<ol> <li>Individual or Joint/Group Filing (Check Applicab Line)</li> <li>X Form filed by One Reporting Person Form filed by More than One Reporting Person</li> </ol>							
(City)	(5	State)	(Zip)																		
		Т	able I - N	on-De	erivat	tive S	Secu	rities A	cquired	l, Di	sposed	of, or	Bene	ficially	Owned						
1. Title of Security (Instr. 3)		2. Transacti Date (Month/Day			2A. Deemed Execution D if any (Month/Day/		Code (	Transaction Code (Instr.					Securities Beneficia	5. Amount of Securities Beneficially Owned Following		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership				
										v	Amount	(/ (i	() or ()	Price	Transacti (Instr. 3 a	on(s)			(Instr. 4)		
Class A (	Common St	ock		12/06/2021					С		232,5	232,500		(1)	232	,500	00 D				
Class A (	Class A Common Stock			12/06/2021			L				7,508	7,508 <sup>(2)</sup> I		\$67.01 <sup>(3</sup>	_	224,992		92 D			
Class A (	Common St	ock		12/06/2021		21			S		16,419			\$68.14 <sup>(4</sup>	_	208,573		73 D			
Class A Common Stock					2/06/2021				S			67,761 <sup>(2)</sup>		\$68.95 <sup>(5</sup>			D				
	Class A Common Stock				12/06/2021				S	<u> </u>	123,59			\$69.96(6	_	17,218		D			
Class A G	Common St	ock			06/20				S		17,218			\$70.8 <sup>(7)</sup>		)		D			
			Table II								conver				owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemer Execution I if any (Month/Day	Date,	4. Trans Code 8)	action (Instr.	1 Derivative		6. Date E Expiratio (Month/D	on Dat			erlying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivativ Securitie Beneficia Owned Following Reported	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)			
					Code	v	(A)	(D)	Date Exercisa	ble	Expiration Date	Title		ount or nber of res		Transact (Instr. 4)					
Class B Common Stock	(1)	12/06/2021			С			232,500	(1)		(1)	Class Comm Stock	on 23	32,500	\$0.00	20,295,000		20,295,000		D	
Class B Common Stock	(1)								(1)		(1)	Class Comm Stocl	on 27	75,073		275,073		I	See footnote <sup>(8)</sup>		
Class B Common Stock	(1)								(1)		(1)	Class Comm Stocl	on 27	75,073		275,073		275,073		I	See footnote <sup>(9)</sup>
Class B Common Stock	(1)								(1)		(1)	Class Comm Stocl	on 18	3,382		183,382		183,382		I	See footnote <sup>(10)</sup>
Class B Common Stock	(1)								(1)		(1)	Class Comm Stocl	on 18	3,382		183,3	82	I	See footnote <sup>(11)</sup>		
Class B Common Stock	(1)								(1)		(1)	Class Comm Stock	on 8	3,090		83,09	90	I	See footnote <sup>(12)</sup>		
Class B Common Stock	(1)								(1)		(1)	Class Comm Stocl	on   1,0	00,000		1,000,0	000	I	See footnote <sup>(13)</sup>		
Class B Common Stock	(1)								(1)		(1)	Class Comm Stocl	on   1,0	00,000		1,000,0	000	I	See footnote <sup>(14)</sup>		

## Explanation of Responses:

1. Each share of Class B Common Stock held by the Reporting Person will automatically convert into one share of Class A Common Stock upon the sale or transfer of such share of Class B Common Stock, subject to certain exceptions, and in certain other circumstances described in the Issuer's amended and restated certificate of incorporation. Each share of Class B Common Stock will also be convertible at any time at the option of the Reporting Person into one share of Class A Common Stock.

2. Shares sold pursuant to a 10b5-1 trading plan adopted August 24, 2021.

3. The shares were sold at prices ranging from \$66.50 to \$67.49. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. The shares were sold at prices ranging from \$67.50 to \$68.46. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

5. The shares were sold at prices ranging from \$68.50 to \$69.49. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

6. The shares were sold at prices ranging from \$69.50 to \$70.49. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

7. The shares were sold at prices ranging from \$70.50 to \$71.35. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- 8. The shares are held by The Parent's 2019 Grantor Retained Annuity Trust I under agreement dated September 26, 2019.
- 9. The shares are held by The Parent's 2019 Grantor Retained Annuity Trust II under agreement dated September 26, 2019.
- 10. The shares are held by The Siblings' 2019 Grantor Retained Annuity Trust I under agreement dated September 26, 2019.
- 11. The shares are held by The Siblings' 2019 Grantor Retained Annuity Trust II under agreement dated September 26, 2019.
- 12. The shares are held by The Edward J. Kreps and Jamaica H. Kreps 2018 Revocable Trust.
- 13. The shares are held by the GST Exempt Trust under The Kreps Family 2019 Irrevocable Trust under agreement dated 9/26/2019.
- 14. The shares are held by the GST Exempt Trust under The Kreps Family 2019 Irrevocable Trust under agreement dated 9/26/2019.

Remarks:

/s/ Melanie Vinson, Attorney-infact 12/06/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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