| SEC For                                                                                                                      | m 4<br>FORM                                                           | 4                                          | UNITE                                                                                                                                                                        | D S                                        | ΤΑΤΙ                                                                                                                                                                                                                                                                   | ES                                                             | SEC                               | URITI                                                                            | ES AI                                   | ND                     | ЕХСНА                                                         |           | GE CO         | OMMIS                                       | SION                                                                                                                                                                                     |                                                                                             |                              |                                                                         |                                                                    |  |
|------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----------------------------------|----------------------------------------------------------------------------------|-----------------------------------------|------------------------|---------------------------------------------------------------|-----------|---------------|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------|--|
|                                                                                                                              |                                                                       | •••••                                      | UNITED STATES SECURITIES AND EXCHANGE COMMI<br>Washington, D.C. 20549                                                                                                        |                                            |                                                                                                                                                                                                                                                                        |                                                                |                                   |                                                                                  |                                         |                        |                                                               |           |               |                                             |                                                                                                                                                                                          | OMB APP                                                                                     |                              |                                                                         |                                                                    |  |
| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |                                                                       |                                            | STATEMENT OF CHANGES IN BENEFICIAL OWNER<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934<br>or Section 30(h) of the Investment Company Act of 1940 |                                            |                                                                                                                                                                                                                                                                        |                                                                |                                   |                                                                                  |                                         |                        |                                                               |           |               |                                             | IIP                                                                                                                                                                                      | /IB Number: 3235-0287<br>timated average burden<br>urs per response: 0.5                    |                              |                                                                         |                                                                    |  |
| 1. Name and Address of Reporting Person <sup>*</sup><br>Kreps Edward Jay                                                     |                                                                       |                                            |                                                                                                                                                                              |                                            | 2. Issuer Name and Ticker or Trading Symbol Confluent, Inc. [ CFLT ]                                                                                                                                                                                                   |                                                                |                                   |                                                                                  |                                         |                        |                                                               |           |               |                                             | lationship of<br>ck all applica<br>Director                                                                                                                                              | ble)                                                                                        | g Perso                      | uer<br>wner                                                             |                                                                    |  |
| (Last) (First) (Middle)<br>C/O CONFLUENT, INC.                                                                               |                                                                       |                                            |                                                                                                                                                                              |                                            |                                                                                                                                                                                                                                                                        | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/14/2023 |                                   |                                                                                  |                                         |                        |                                                               |           |               | X                                           | X Officer (give title Other (specify<br>below) below)<br>Chief Executive Officer                                                                                                         |                                                                                             |                              |                                                                         |                                                                    |  |
| (Street)                                                                                                                     | MOUNTAIN CA 94041                                                     |                                            |                                                                                                                                                                              |                                            |                                                                                                                                                                                                                                                                        | 4. If Amendment, Date of Original Filed (Month/Day/Year)       |                                   |                                                                                  |                                         |                        |                                                               |           |               | Line)                                       | <ul> <li>Individual or Joint/Group Filing (Check Applicable<br/>Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting<br/>Person</li> </ul> |                                                                                             |                              |                                                                         |                                                                    |  |
| (City)                                                                                                                       | (5                                                                    | State)                                     | (Zip)                                                                                                                                                                        | — F                                        | Rule 10b5-1(c) Transaction Indication         X       Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |                                                                |                                   |                                                                                  |                                         |                        |                                                               |           |               |                                             |                                                                                                                                                                                          |                                                                                             |                              |                                                                         |                                                                    |  |
|                                                                                                                              |                                                                       | Та                                         | able I - No                                                                                                                                                                  | on-De                                      | erivat                                                                                                                                                                                                                                                                 | ive \$                                                         | Secu                              | rities A                                                                         | cquired                                 | d, Di                  | sposed                                                        | of, d     | or Ben        | eficially                                   | Owned                                                                                                                                                                                    |                                                                                             |                              |                                                                         |                                                                    |  |
| 1. Title of Security (Instr. 3)                                                                                              |                                                                       |                                            |                                                                                                                                                                              | 2. Transaction<br>Date<br>(Month/Day/Year) |                                                                                                                                                                                                                                                                        | /Year) if any                                                  |                                   | eemed<br>ition Date,<br>h/Day/Year                                               | 3.<br>Transaction<br>Code (Instr.<br>8) |                        | 4. Securities Acquired (A) of<br>Disposed Of (D) (Instr. 3, 4 |           |               | and 5) Securities<br>Beneficial<br>Owned Fo |                                                                                                                                                                                          | Form:                                                                                       | Direct<br>Indirect           | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                     |                                                                    |  |
|                                                                                                                              |                                                                       |                                            |                                                                                                                                                                              |                                            |                                                                                                                                                                                                                                                                        |                                                                |                                   |                                                                                  | Code                                    | v                      | Amount                                                        |           | (A) or<br>(D) | Price                                       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                                                                                                                                           |                                                                                             |                              |                                                                         | (Instr. 4)                                                         |  |
| Class A Common Stock                                                                                                         |                                                                       |                                            |                                                                                                                                                                              | 12/14/2023                                 |                                                                                                                                                                                                                                                                        |                                                                | 1                                 |                                                                                  | С                                       |                        | 232,500                                                       |           | Α             | (1)                                         | 232,                                                                                                                                                                                     | 500                                                                                         | D                            |                                                                         |                                                                    |  |
| Class A Common Stock                                                                                                         |                                                                       |                                            |                                                                                                                                                                              | 12/14/2023                                 |                                                                                                                                                                                                                                                                        |                                                                |                                   | S                                                                                |                                         | 161,285 <sup>(2)</sup> |                                                               | D         | \$25.06(3)    | 71,2                                        | 215                                                                                                                                                                                      | D                                                                                           |                              |                                                                         |                                                                    |  |
| Class A Common Stock 12/14                                                                                                   |                                                                       |                                            |                                                                                                                                                                              | 14/202                                     | 4/2023                                                                                                                                                                                                                                                                 |                                                                |                                   |                                                                                  |                                         | 71,215 <sup>(2)</sup>  |                                                               | D         | \$25.66(4     | 0 0                                         | )                                                                                                                                                                                        | D                                                                                           |                              |                                                                         |                                                                    |  |
|                                                                                                                              |                                                                       |                                            | Table II                                                                                                                                                                     |                                            |                                                                                                                                                                                                                                                                        |                                                                |                                   |                                                                                  |                                         |                        | posed o<br>convert                                            |           |               | ficially O<br>rities)                       | wned                                                                                                                                                                                     |                                                                                             |                              |                                                                         |                                                                    |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                                                          | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | Execution                                                                                                                                                                    |                                            | 4.<br>Transa<br>Code (<br>8)                                                                                                                                                                                                                                           |                                                                | Der<br>Sec<br>Acc<br>or E<br>of ( | umber of<br>ivative<br>urities<br>juired (A)<br>Disposed<br>D) (Instr.<br>and 5) | 6. Date E<br>Expiratio<br>(Month/E      | on Dat                 |                                                               | Securitie |               |                                             | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                                                                                                                                      | 9. Numb<br>derivativ<br>Securitie<br>Beneficia<br>Owned<br>Followin<br>Reported<br>Transact | ve<br>es<br>ially<br>ng<br>d | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4 | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|                                                                                                                              |                                                                       |                                            |                                                                                                                                                                              |                                            | Code                                                                                                                                                                                                                                                                   | v                                                              | (A)                               | (D)                                                                              | Date<br>Exercisa                        |                        | Expiration<br>Date                                            | Title     | Ň             | mount or<br>lumber of<br>hares              |                                                                                                                                                                                          | (Instr. 4)                                                                                  |                              |                                                                         |                                                                    |  |

## Explanation of Responses:

1. Each share of Class B Common Stock held by the Reporting Person will automatically convert into one share of Class A Common Stock upon the sale or transfer of such share of Class B Common Stock, subject to certain exceptions, and in certain other circumstances described in the Issuer's amended and restated certificate of incorporation. Each share of Class B Common Stock will also be convertible at any time at the option of the Reporting Person into one share of Class A Common Stock.

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Class A

Commo Stock

Class A

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Common

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Class A

Common Stock

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footnote<sup>(5)</sup>

footnote<sup>(6)</sup>

footnote<sup>(7)</sup>

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232,500

2. Shares sold pursuant to a 10b5-1 trading plan adopted September 14, 2023.

12/14/2023

3. The shares were sold at prices ranging from \$24.57 to \$25.565. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. The shares were sold at prices ranging from \$25.57 to \$25.77. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

5. The shares are held by The Edward J. Kreps and Jamaica H. Kreps 2018 Revocable Trust.

6. The shares are held by the GST Exempt Trust under The Kreps Family 2019 Irrevocable Trust under agreement dated 9/26/2019.

7. The shares are held by the GST Exempt Trust under The Kreps Family 2019 Irrevocable Trust under agreement dated 9/26/2019.

Remarks:

Class B

Commor

Stock

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Com Stock Class B

> /s/ Melanie Vinson, Attorney-in-12/15/2023 fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.