FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington,  | D C  | 205/10 |
|--------------|------|--------|
| wasiiiigton, | D.C. | 20049  |

| STATEMENT C | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|-------------|------------|---------------|-----------|
|             |            |               |           |

| OMB APPROVAL          |           |  |  |  |  |  |  |  |  |
|-----------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:           | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average but | rden      |  |  |  |  |  |  |  |  |
| hours per response:   | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Kreps Edward Jay |   |  |  |                     |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Confluent, Inc. [ CFLT ]  |     |   |                          |   |   |  | (Che  | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner |  |       |   |                                |  |
|--|---|--|--|---------------------|----------|--|-----|---|--------------------------|---|---|--|---|---|--|-------|---|--------------------------------|--|
| (Last)   |   | First)                                     | (Middle)                                       |                     |          | 3. Date of Earliest Transaction (Month/Day/Year) 09/05/2023  |     |   |                          |   |   |  | X   | Officer (give title Other   |  |       |   | - 1                            |  |
| 899 W. EVELYN AVENUE                                       |   |  |  |                     | 4        | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |     |   |                          |   |   |  | Line)   | 6. Individual or Joint/Group Filing (Check Applicable Line)                                   |  |       |   |                                |  |
| (Street)  MOUNT  VIEW                                      | TAIN C  | A  | 94041  |                     |          | X Form filed by One Reporting Person Form filed by More than One Reporting Person  |     |   |                          |   |   |  |   |   |  | ing   |   |                                |  |
| (City)   | (5  | State)                                     | (Zip)  |                     | _        | Rule 10b5-1(c) Transaction Indication  X Check this box to indicate that a transaction was made pursuant to a c the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10 |     |   |                          |   |   |  | ant to a contrac  | contract, instruction or written plan that is intended to satisfy<br>0.                       |  |       |   |                                |  |
|  |   | Ta   | able I - N                                     | on-De               | erivati  | ive S  | ecu | rities A  | cquire                   | l, Di                                     | sposed  | of, or B   | eneficially   | Owned   |  |       |   |                                |  |
| Da   |   |  | Date   | nsactior<br>h/Day/Y | ear)     | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |     | Code (  | Transaction Code (Instr. |   | ies Acquire<br>Of (D) (Insi                         | d (A) or<br>r. 3, 4 and 5)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)  |       | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |                                |  |
|  |   |  |  |                     |          |  |     |   | Code                     | v   | Amount  | (A) o<br>(D)   | r Price   |   | eported<br>ransaction(s)<br>nstr. 3 and 4)                         |       | [   | Instr. 4)                      |  |
| Class A (  | Common St   | ock  |  | 09/                 | /05/2023 |  |     |   | С                        |   | 232,50  | 00 A   | (1)   | 232,500   |  |       | D   |                                |  |
| Class A (  | Class A Common Stock 09   |  |  | 09/                 | 05/202   | 2023   |     |   | S                        |   | 160,51  | 8 <sup>(2)</sup> D   | D \$33.08 <sup>(3)</sup>                                      |   | 71,982   |       | D   |                                |  |
| Class A Common Stock 09/                                   |   |  | 09/  | 05/202              | 2023     |  | S   |   | 71,982                   | 2 <sup>(2)</sup> D \$33.69 <sup>(4)</sup> |   | 0  |   |   | D  |       |   |                                |  |
|  |   |  | Table II                                       |                     |          |  |     |   |                          |   | posed o<br>convert                                  |  | neficially C<br>urities)                                      | wned  |  |       |   |                                |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)        | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Day | Date,               |          | nsaction Derivative E  |     | 6. Date Exercisable and Expiration Date (Month/Day/Year)  7. Title and Amc Securities Unde Derivative Secu (Instr. 3 and 4) |                          | Underlying<br>Security                    | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) |   | Ownership of Inc<br>Form: Bene<br>Direct (D) Owne   | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |       |   |                                |  |
|  |   |  |  |                     | Code     | v  | (A) | (D)   | Date<br>Exercisa         |   | Expiration<br>Date                                  | Title  | Amount or<br>Number of<br>Shares                              |   | (Instr. 4)   | on(s) |   |                                |  |
| Class B<br>Common<br>Stock                                 | (1)   | 09/05/2023                                 |  |                     | С        |  |     | 232,500   | (1)                      |   | (1)   | Class A<br>Common<br>Stock   | 232,500   | \$0.00  | 17,970,  | 000   | D   |                                |  |
| Class B<br>Common<br>Stock                                 | (1)   |  |  |                     |          |  |     |   | (1)                      |   | (1)   | Class A<br>Common<br>Stock   | 149,984   |   | 149,98   | 84    | I   | See<br>footnote <sup>(5)</sup> |  |
| Class B<br>Common<br>Stock                                 | (1)   |  |  |                     |          |  |     |   | (1)                      |   | (1)   | Class A<br>Common<br>Stock   | 1,000,000   | 1,00  |  | 000   | I   | See<br>footnote <sup>(6)</sup> |  |
| Class B<br>Common  | (1)   |  |  |                     |          |  |     |   | (1)                      |   | (1)   | Class A<br>Common  | 1,000,000   |   | 1,000,0  | 000   | I   | See<br>footnote <sup>(7)</sup> |  |

## **Explanation of Responses:**

- 1. Each share of Class B Common Stock held by the Reporting Person will automatically convert into one share of Class A Common Stock upon the sale or transfer of such share of Class B Common Stock, subject to certain exceptions, and in certain other circumstances described in the Issuer's amended and restated certificate of incorporation. Each share of Class B Common Stock will also be convertible at any time at the option of the Reporting Person into one share of Class A Common Stock.
- $2. \ Shares \ sold \ pursuant \ to \ a \ 10b5-1 \ trading \ plan \ adopted \ August \ 19, 2022.$
- 3. The shares were sold at prices ranging from \$32.47 to \$33.46. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 4. The shares were sold at prices ranging from \$33.48 to \$33.84. The reporting person will provide to the SEC, the issuer or security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- $5.\ The\ shares\ are\ held\ by\ The\ Edward\ J.\ Kreps\ and\ Jamaica\ H.\ Kreps\ 2018\ Revocable\ Trust.$
- $6. \ The \ shares \ are \ held \ by \ the \ GST \ Exempt \ Trust \ under \ The \ Kreps \ Family \ 2019 \ Irrevocable \ Trust \ under \ agreement \ dated \ 9/26/2019.$
- 7. The shares are held by the GST Exempt Trust under The Kreps Family 2019 Irrevocable Trust under agreement dated 9/26/2019.

## Remarks:

/s/ Melanie Vinson, Attorney-infact 09/07/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.