FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# OMB APPROVAL OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Buscemi Stephanie</u>	Requiring (Month/D	2. Date of Event Requiring Statement (Month/Day/Year) 09/15/2022  3. Issuer Name and Ticker or Trading Symbol Confluent, Inc. [ CFLT ]						
(Last) (First) (Middle) C/O CONFLUENT, INC.			Relationship of Report Issuer (Check all applicable)  Director	ing Person(s)	Fi	5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) MOUNTAIN VIEW CA 94041	_		X Officer (give title below)  Chief Market	Other ( below)	specify 6.	6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (State) (Zip)  Toble I. Non Perivative Securities Beneficially Owned								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Insti 4)	r. Form: D (D) or In	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ct Beneficial 5)	
Class A Common Stock			39,270(1)	Г				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)	
Stock Option (Right to Buy)	(2)	03/19/2031	Class B Common Stock <sup>(3)</sup>	1,243,879	15.68	D		

#### **Explanation of Responses:**

- 1. Includes 31,505 shares subject to restricted stock units ("RSUs"). Fifty percent of the shares subject to the RSUs vest on February 20, 2025 and 50% of the shares subject to the RSUs vest on February 20, 2026, subject to the reporting person's continued service as of each such vesting date.
- 2. Twenty-five percent of the shares subject to the option vested on 3/8/2022 and the balance of the shares vest monthly thereafter for the following 3 years, subject to the reporting person's continued service as of each such vesting date.
- 3. Each share of Class B Common Stock held by the Reporting Person will automatically convert into one share of Class A Common Stock upon the sale or transfer of such share of Class B Common Stock, subject to certain exceptions, and in certain other circumstances described in the Issuer's amended and restated certificate of incorporation. Each share of Class B Common Stock will also be convertible at any time at the option of the Reporting Person into one share of Class A Common Stock.

#### Remarks:

/s/ Melanie Vinson, Attorney-in-fact

09/22/2022

\*\* Signature of Reporting

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints Melanie Vinson, Christina

Liu, Weilyn Wood and Stacy Taylor of Confluent, Inc. and Siana Lowrey, Milson Yu, and Eric Steiner of Cooley LLP,

or any of them signing singly, with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

(1) prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the U.S.

Securities and Exchange Commission (the "SEC") a Form ID Application to Obtain EDGAR Access

Codes and any other forms necessary to generate  ${\tt EDGAR}$  codes on my behalf enabling the

undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the

Securities Exchange Act of 1934 or any rule or regulation of the SEC;

(2) execute for and on behalf of the undersigned, in the undersigned's capacity as a director, officer

and/or a 10% stockholder of Confluent, Inc. ("Confluent"), Forms 3, 4, and 5 in accordance with

Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

(3) do and perform any and all acts for and on behalf of the undersigned which may be necessary or

desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or

amendments thereto, and timely file such form with the SEC and any stock exchange or similar  $\,$ 

authority; and

(4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion

of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the

undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of

the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such

terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to such attorney-in-fact full power and authority to do and perform any and

every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers

herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full

power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such

attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and

the rights and powers herein granted.

This Power of Attorney shall remain in full force and effect until either the undersigned is no longer required

to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by Confluent

or unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of September 1, 2022.

/s/ Stephanie Buscemi