FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|                                                                                             |           |                                            |                                                    |                                            | or S                                    | ection                                                               | 30(h) of the                                                                   | Investme                                             | ent C  | ompany Act                                                           | of 1940         |                                                  |                                                                                            |                                                                                 |                                        |                                                                  |                                            |  |  |
|---------------------------------------------------------------------------------------------|-----------|--------------------------------------------|----------------------------------------------------|--------------------------------------------|-----------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------|--------|----------------------------------------------------------------------|-----------------|--------------------------------------------------|--------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------|------------------------------------------------------------------|--------------------------------------------|--|--|
| 1. Name and Address of Reporting Person* <u>Hu An-Yen</u>                                   |           |                                            |                                                    |                                            |                                         | 2. Issuer Name and Ticker or Trading Symbol Confluent, Inc. [ CFLT ] |                                                                                |                                                      |        |                                                                      |                 |                                                  | Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X 10% Owner |                                                                                 |                                        |                                                                  |                                            |  |  |
| (Last)<br>2965 WC                                                                           | •         | (First) (Middle) DSIDE ROAD                |                                                    |                                            |                                         | 3. Date of Earliest Transaction (Month/Day/Year) 11/30/2022          |                                                                                |                                                      |        |                                                                      |                 |                                                  | Officer (give title Other (specify below)                                                  |                                                                                 |                                        |                                                                  |                                            |  |  |
| (Charath                                                                                    |           |                                            |                                                    |                                            | - 4. If A                               |                                                                      |                                                                                |                                                      |        |                                                                      |                 |                                                  |                                                                                            | 6. Individual or Joint/Group Filing (Check Applicable                           |                                        |                                                                  |                                            |  |  |
| Street) WOODSIDE CA 94062                                                                   |           |                                            |                                                    |                                            |                                         |                                                                      |                                                                                |                                                      |        |                                                                      |                 |                                                  |                                                                                            | Form filed by One Reporting Person Form filed by More than One Reporting Person |                                        |                                                                  |                                            |  |  |
| (City)                                                                                      | ?)        | State)                                     | (Zip)                                              |                                            |                                         |                                                                      |                                                                                |                                                      |        |                                                                      |                 |                                                  |                                                                                            |                                                                                 |                                        |                                                                  |                                            |  |  |
|                                                                                             |           | -                                          | Γable I - N                                        | on-Der                                     | ivative                                 | Sec                                                                  | urities Ac                                                                     | quired                                               | , Di   | sposed c                                                             | of, or Be       | neficial                                         | ly Owned                                                                                   |                                                                                 |                                        |                                                                  |                                            |  |  |
| Da                                                                                          |           |                                            |                                                    | 2. Transaction<br>Date<br>(Month/Day/Year) |                                         | Execution Date,                                                      |                                                                                | 3.<br>Transaction<br>Code (Instr.<br>8)              |        | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |                 |                                                  | Securities<br>Beneficial<br>Owned Fo                                                       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following                   |                                        | ership<br>Direct<br>ndirect<br>:. 4)                             | 7. Nature of Indirect Beneficial Ownership |  |  |
|                                                                                             |           |                                            |                                                    |                                            |                                         |                                                                      |                                                                                | Code                                                 | v      | Amount                                                               | (A) o<br>(D)    | r Price                                          | Reported<br>Transactio<br>(Instr. 3 ar                                                     | on(s)<br>ad 4)                                                                  |                                        |                                                                  | (Instr. 4)                                 |  |  |
| Class A C                                                                                   | Common St | ock                                        |                                                    | 11/30                                      | 0/2022                                  |                                                                      |                                                                                | С                                                    |        | 3,862,59                                                             | 93 A            | \$0.0                                            | 0 3,862                                                                                    | ,593                                                                            | 1                                      | I                                                                | See<br>footnote <sup>(1)</sup>             |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | J <sup>(2)</sup>                                     |        | 3,862,59                                                             | 93 D            | \$0.0                                            | 00 0                                                                                       |                                                                                 | ]                                      | ]                                                                | See<br>footnote <sup>(1)</sup>             |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | J <sup>(2)</sup>                                     |        | 90,484                                                               | 1 A             | \$0.0                                            | .00 1,690,388                                                                              |                                                                                 | 1                                      | ]                                                                | See<br>footnote <sup>(3)</sup>             |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | J <sup>(2)</sup>                                     |        | 36,101 A                                                             |                 | \$0.0                                            | 0 1,023                                                                                    | 1,023,779                                                                       |                                        | ]                                                                | See<br>footnote <sup>(4)</sup>             |  |  |
| Class A Common Stock                                                                        |           |                                            | 11/30/2022                                         |                                            |                                         |                                                                      | J <sup>(2)</sup>                                                               |                                                      | 10,563 | 3 A                                                                  | \$0.0           | 0 10,5                                           | 10,563                                                                                     |                                                                                 | ]                                      | See<br>footnote <sup>(5)</sup>                                   |                                            |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | J <sup>(2)</sup>                                     |        | 10,347                                                               | 7 A             | \$0.0                                            | 0 31,0                                                                                     | 31,041                                                                          |                                        | ]                                                                | See<br>footnote <sup>(6)</sup>             |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | J <sup>(2)</sup>                                     |        | 47,549                                                               | ) A             | \$0.0                                            | 0 1,311                                                                                    | 1,311,584                                                                       |                                        | ]                                                                | See<br>footnote <sup>(7)</sup>             |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | J <sup>(2)</sup>                                     |        | 20,694                                                               | 1 A             | \$0.0                                            | 0 20,6                                                                                     | 20,694                                                                          |                                        | ]                                                                | See<br>footnote <sup>(8)</sup>             |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | J <sup>(2)</sup>                                     |        | 37,201                                                               | I A             | \$0.0                                            | 0 1,411                                                                                    | 1,411,999                                                                       |                                        | I                                                                | See<br>footnote <sup>(9)</sup>             |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | <b>J</b> (2)                                         |        | 15,577                                                               | 7 A             | \$0.0                                            | 970,369                                                                                    |                                                                                 | 1                                      | [                                                                | See<br>footnote <sup>(10)</sup>            |  |  |
| Class A Common Stock                                                                        |           |                                            |                                                    | 11/30/2022                                 |                                         |                                                                      |                                                                                | <b>J</b> (2)                                         |        | 42                                                                   | A               | \$0.0                                            | 0 12,0                                                                                     | 12,036                                                                          |                                        | ]                                                                | See<br>footnote <sup>(11)</sup>            |  |  |
| Class A Common Stock 11/3                                                                   |           |                                            | 11/30                                              | 11/30/2022                                 |                                         |                                                                      | <b>J</b> (2)                                                                   |                                                      | 3,478  | A                                                                    | \$0.0           | 0 154,                                           | 154,249                                                                                    |                                                                                 | ]                                      | See<br>footnote <sup>(12)</sup>                                  |                                            |  |  |
|                                                                                             |           |                                            | Table II                                           |                                            |                                         |                                                                      | rities Acq<br>warrants                                                         |                                                      |        |                                                                      |                 |                                                  | Owned                                                                                      |                                                                                 |                                        |                                                                  |                                            |  |  |
| 1. Title of Derivative Security (Instr. 3)  2. Convers or Exerc Price of Derivativ Security |           | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day/ | ate, Tr                                    | 4.<br>Transaction<br>Code (Instr.<br>8) |                                                                      | Number of<br>rivative<br>curities<br>quired (A)<br>Disposed of<br>(Instr. 3, 4 | 6. Date Exercis<br>Expiration Date<br>(Month/Day/Yea |        | e Securiti                                                           |                 | d Amount of<br>S Underlying<br>Security<br>nd 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                                        | deriva<br>Secur<br>Benef<br>Owner<br>Follow<br>Repor                            | ative<br>ities<br>icially<br>d<br>ving | 10.<br>Ownersh<br>Form:<br>Direct (D<br>or Indire<br>(I) (Instr. | Beneficial<br>Ownership<br>ct (Instr. 4)   |  |  |
|                                                                                             |           |                                            |                                                    | C                                          | ode V                                   | (A)                                                                  |                                                                                | Date<br>Exercisa                                     | ble    | Expiration<br>Date                                                   | Title           | Amount of<br>Number of<br>Shares                 |                                                                                            | (Instr.                                                                         |                                        |                                                                  |                                            |  |  |
|                                                                                             |           |                                            |                                                    |                                            |                                         |                                                                      |                                                                                |                                                      |        |                                                                      |                 |                                                  |                                                                                            |                                                                                 |                                        |                                                                  |                                            |  |  |
| Class B                                                                                     |           | Г                                          |                                                    |                                            |                                         | _                                                                    |                                                                                |                                                      |        |                                                                      | Class A         |                                                  |                                                                                            |                                                                                 | 1                                      |                                                                  |                                            |  |  |
| Common<br>Stock                                                                             | (13)      | 11/30/2022                                 |                                                    |                                            | С                                       | _                                                                    | 3,862,593                                                                      | (13)                                                 |        | (13)                                                                 | Common<br>Stock | 3,862,5                                          | \$0.00                                                                                     | 14,1                                                                            | 60,846                                 | I                                                                | See<br>footnote <sup>(1)</sup>             |  |  |

| (Last) 2965 WOODSIDI                                                                                                                                                                                                                | (First)<br>E ROAD                                                                                                                                                                      | (Middle)                                |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| (Street) WOODSIDE                                                                                                                                                                                                                   | CA                                                                                                                                                                                     | 94062                                   |
| (City)                                                                                                                                                                                                                              | (State)                                                                                                                                                                                | (Zip)                                   |
| 1. Name and Address <u>Cohler Matt</u>                                                                                                                                                                                              | of Reporting Person*                                                                                                                                                                   |                                         |
| (Last)<br>2480 SAND HILI                                                                                                                                                                                                            | (First) L ROAD, SUITE 200                                                                                                                                                              | (Middle)                                |
| (Street) WOODSIDE                                                                                                                                                                                                                   | CA                                                                                                                                                                                     | 94062                                   |
| (City)                                                                                                                                                                                                                              | (State)                                                                                                                                                                                | (Zip)                                   |
| 1. Name and Address FENTON PET                                                                                                                                                                                                      |                                                                                                                                                                                        |                                         |
| (Last)<br>2480 SAND HILI                                                                                                                                                                                                            | (First)                                                                                                                                                                                | (Middle)                                |
| (Street) WOODSIDE                                                                                                                                                                                                                   | CA                                                                                                                                                                                     | 94062                                   |
| (City)                                                                                                                                                                                                                              | (State)                                                                                                                                                                                | (Zip)                                   |
| 1. Name and Address GURLEY J W                                                                                                                                                                                                      |                                                                                                                                                                                        |                                         |
| (Last)<br>2480 SAND HILI                                                                                                                                                                                                            | (First)  L ROAD                                                                                                                                                                        | (Middle)                                |
|                                                                                                                                                                                                                                     |                                                                                                                                                                                        |                                         |
| (Street) WOODSIDE                                                                                                                                                                                                                   | CA                                                                                                                                                                                     | 94062                                   |
|                                                                                                                                                                                                                                     | CA (State)                                                                                                                                                                             | 94062<br>(Zip)                          |
| WOODSIDE                                                                                                                                                                                                                            | (State)                                                                                                                                                                                |                                         |
| WOODSIDE  (City)  1. Name and Address                                                                                                                                                                                               | (State)  of Reporting Person*  CHELL  (First)                                                                                                                                          |                                         |
| (City)  1. Name and Address LASKY MITO (Last)                                                                                                                                                                                       | (State)  of Reporting Person*  CHELL  (First)                                                                                                                                          | (Zip)                                   |
| WOODSIDE  (City)  1. Name and Address  LASKY MITC  (Last)  2480 SAND HILI  (Street)                                                                                                                                                 | (State) of Reporting Person* CHELL  (First) ROAD                                                                                                                                       | (Zip) (Middle)                          |
| (City)  1. Name and Address LASKY MITO (Last) 2480 SAND HILI (Street) WOODSIDE                                                                                                                                                      | (State)  of Reporting Person*  CHELL  (First)  ROAD  CA  (State)  of Reporting Person*                                                                                                 | (Zip) (Middle) 94062                    |
| WOODSIDE  (City)  1. Name and Address  LASKY MITO  (Last)  2480 SAND HILI  (Street)  WOODSIDE  (City)  1. Name and Address                                                                                                          | (State)  of Reporting Person* CHELL  (First) CA  (State)  of Reporting Person* etan  (First)                                                                                           | (Zip) (Middle) 94062                    |
| WOODSIDE  (City)  1. Name and Address LASKY MITO  (Last) 2480 SAND HILI  (Street) WOODSIDE  (City)  1. Name and Address Puttagunta Cho                                                                                              | (State)  of Reporting Person* CHELL  (First) CA  (State)  of Reporting Person* etan  (First)                                                                                           | (Zip)  (Middle)  94062  (Zip)           |
| WOODSIDE  (City)  1. Name and Address LASKY MITC  (Last) 2480 SAND HILI  (Street) WOODSIDE  (City)  1. Name and Address Puttagunta Che  (Last) 2855 SAND HILI  (Street)                                                             | (State)  of Reporting Person* CHELL  (First) CROAD  CA  (State)  of Reporting Person* etan  (First) CROAD                                                                              | (Zip)  (Middle)  94062  (Zip)  (Middle) |
| WOODSIDE  (City)  1. Name and Address LASKY MITC  (Last) 2480 SAND HILI  (Street) WOODSIDE  (City)  1. Name and Address Puttagunta Che  (Last) 2855 SAND HILI  (Street) WOODSIDE                                                    | (State)  of Reporting Person* CHELL  (First) CROAD  CA  (State)  of Reporting Person* etan  (First) CROAD  CA  (State)  (State)                                                        | (Zip)  (Middle)  94062  (Zip)  (Middle) |
| WOODSIDE  (City)  1. Name and Address LASKY MITC  (Last) 2480 SAND HILI  (Street) WOODSIDE  (City)  1. Name and Address Puttagunta Che  (Last) 2855 SAND HILI  (Street) WOODSIDE  (City)  1. Name and Address                       | (State)  of Reporting Person* CHELL  (First) ROAD  CA  (State)  of Reporting Person* etan  (First) ROAD  CA  (State)  of Reporting Person*  (First)  CA  (State)  of Reporting Person* | (Zip)  (Middle)  94062  (Zip)  (Middle) |
| WOODSIDE  (City)  1. Name and Address LASKY MITC  (Last) 2480 SAND HILI  (Street) WOODSIDE  (City)  1. Name and Address Puttagunta Cho  (Last) 2855 SAND HILI  (Street) WOODSIDE  (City)  1. Name and Address Tavel Sarah E  (Last) | (State)  of Reporting Person* CHELL  (First) ROAD  CA  (State)  of Reporting Person* etan  (First) ROAD  CA  (State)  of Reporting Person*  (First)  CA  (State)  of Reporting Person* | (Zip)  (Middle)  94062 (Zip)  (Middle)  |

- 1. Shares are held by Benchmark Capital Partners VIII, L.P. ("BCP VIII"), for itself and as nominee for Benchmark Founders' Fund VIII, L.P. ("BFF VIII") and Benchmark Founders' Fund VIII-B, L.P. ("BFF VIII-B"). Benchmark Capital Management Co. VIII, L.L.C. ("BCMC VIII"), the general partner of each of BCP VIII, BFF VIII and BFF VIII-B, may be deemed to have sole voting and investment power over such shares. Eric Vishria, a member of the Issuer's board of directors, Matthew R. Cohler, Peter H. Fenton, J. William Gurley, An-Yen Hu, Mitchell H. Lasky, Chetan Puttagunta and Sarah E. Tavel are the managing members of BCMC VIII, and each of them may be deemed to share voting and investment power over the securities held by such entities. Each such person and entity disclaims the existence of a "group" and disclaims beneficial ownership of the securities, except to the extent of such person's or entity's pecuniary interest in such securities.
- 2. Represents a pro-rata, in-kind distribution by BCP VIII and its affiliated funds, not for additional consideration, to its partners, including BCMC VIII and its respective members and assignees.
- 3. Shares are held by Matthew R. Cohler's trust entity.
- 4. Shares are held by Peter H. Fenton's trust entities.
- 5. Shares are held by Peter H. Fenton's family partnership.
- 6. Shares are held by Mitchell H. Lasky's family partnership.
- 7. Shares are held by Mitchell H. Lasky's family trust.
- 8. Shares are held by J. William Gurley's family partnerships
- 9. Shares are held by J. William Gurley's trust entity.
- 10. Shares are held by Sarah Tavel.
- 11. Shares held by An-Yen Hu's trust entity.
- 12. Shares held by Chetan Puttagunta's trust entity.
- 13. Each share of Class B Common Stock held by the Reporting Person will automatically convert into one share of Class A Common Stock upon the sale or transfer of such share of Class B Common Stock, subject to certain exceptions, and in certain other circumstances described in the Issuer's amended and restated certificate of incorporation. Each share of Class B Common Stock is also convertible at any time at the option of the Reporting Person into one share of Class A Common Stock.

## Remarks:

This report is one of three reports, each on a separate Form 4, but relating to the same transaction being filed by entities affiliated with Benchmark and their applicable members.

| /s/ An-Yen Hu, by power of attorney for Matthew R. Cohler | 12/02/2022 |
|-----------------------------------------------------------|------------|
| /s/ An-Yen Hu, by power of attorney for Peter H. Fenton   | 12/02/2022 |
| /s/ An-Yen Hu, by power of attorney for J. William Gurley | 12/02/2022 |
| /s/ An-Yen Hu                                             | 12/02/2022 |
| /s/ An-Yen Hu, by power of attorney for Mitchell H. Lasky | 12/02/2022 |
| /s/ An-Yen Hu, by power of attorney for Chetan Puttagunta | 12/02/2022 |
| /s/ An-Yen Hu, by power of attorney for Sarah E. Tavel    | 12/02/2022 |
| ** Signature of Reporting Person                          | Date       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).