SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

\Box	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See
	Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* SCHOTT GREGORY GEORGE						2. Issuer Name and Ticker or Trading Symbol <u>Confluent, Inc.</u> [CFLT]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)		(First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 06/01/2023									Direc Offic below	er (give title		10% Ov Other (s below)		
C/O CONFLUENT, INC. 899 W. EVELYN AVENUE					4. If Amendment, Date of Original Filed (Month/Day/Year)									Line	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) MOUNTAIN VUILL CA 94041														Form	Form filed by More than One Reporting Person					
(City)					Rule 10b5-1(c) Transaction Indication															
	(City) (State) (Zip) Cifect dia box to indicate that a datasection was have pursuant to a contract, instruction of whiteh plan that is mended to a satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.																			
Date					Date Execu (Month/Day/Year) if any		Deemed cution Date, y nth/Day/Year)					ies Acquired (A Of (D) (Instr. 3,			I Securi Benefi Owner Follow	cially 1 /ing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	(A (D) or	Price	Reported Transaction(s) (Instr. 3 and 4)					
Class A Common Stock 06/01/2						.023			A ⁽¹⁾		5,399		A	\$0.00	.00 6,527		I	D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)			tion Date,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable ar Expiration Date (Month/Day/Year)		te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4		D Si (li	Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	y Di or (I)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amo or Num of Shar	ber						

Explanation of Responses:

1. Represents the grant of restricted stock units that vest on the earlier of (i) the date of the 2024 Annual Meeting (or the date immediately prior if the Reporting Person's service as a director ends at such meeting); or (ii) the first anniversary of the date of grant.

Remarks:

/s/ Melanie Vinson, Attorney- 06/05/2023

<u>in-fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.