Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | DC | 20549 |
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| wasiiiigton, | D.C. | 20349 |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* GURLEY J WILLIAM | | | 2. Issuer Name and Ticker or Trading Symbol Confluent, Inc. [CFLT] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title Other (specify below) | | | | | | | | |
|--|---|--------------------------------|---|--|---|---|--|---------|---|--|---|---|--|---|----------------------------|---|--|--|
| (Last) (First) (Middle) 2965 WOODSIDE ROAD | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/16/2021 | | | | | | | | | | | | | |
| (Street) WOODSIDE CA 94062 | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| (City) | (St | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) | | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Tra | 3. Transaction Code (Instr. | | | | | 5. Amount Securities Beneficial Owned Following | | nt of 6. Own Form: (D) or Indireg (Instr. | n: Direct or ect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | mount | | | (A) or (D) Pr | | Price | | Reported Transaction(s) (Instr. 3 and 4) | | | | | |
| Class A | Common St | ock | 11/16/2021 | | | S | 5 | | 10,550 | D | | \$84.108 | 37 ⁽¹⁾ | 10, | 144 | | I | See footnote ⁽²⁾ |
| Class A | Common St | ock | 11/16/2021 | | | 9 | 5 | | 7,644 | D | | \$85.835 | 51 ⁽³⁾ | 2,5 | 500 | | | See footnote ⁽²⁾ |
| Class A (| Common St | ock | 11/16/2021 | | | 9 | 5 | | 2,500 | D | | \$86.43 | 3(4) | (|) | | I | See footnote ⁽²⁾ |
| Class A | Common St | ock | 11/16/2021 | | | S | 5 | | 2,700 | D | | \$81.439 |)8 ⁽⁵⁾ | 1,453 | 3,437 | | | See footnote ⁽⁶⁾ |
| Class A | Common St | ock | 11/16/2021 | | | 5 | 5 | | 6,790 | D | | \$82.298 | 39 ⁽⁷⁾ | 1,446 | 6,647 | | I | F1See footnote ⁽⁶⁾ |
| Class A (| Common St | ock | 11/16/2021 | | | S | 5 | | 21,810 | D | | \$83.64 | 9(8) | 1,424 | 4,837 | | I | See footnote ⁽⁶⁾ |
| Class A (| Common St | ock | 11/16/2021 | | | S | 5 | | 55,880 | D | , | \$84.406 | 58 ⁽⁹⁾ | 1,368 | 3,957 | | I | See footnote ⁽⁶⁾ |
| Class A (| Common St | ock | 11/16/2021 | | | 5 | 5 | | 22,860 | D | \$ | 85.077 | 3(10) | 1,346,097 | | | | See footnote ⁽⁶⁾ |
| Class A (| Common St | ock | 11/16/2021 | | | S | 5 | | 8,500 | D | 4 | 86.353 | 4 ⁽¹¹⁾ | 1,337,597 | | | | See footnote ⁽⁶⁾ |
| Class A (| Class A Common Stock | | | | | | | | | | | | 13 | | 5,919 | | | See footnote ⁽¹²⁾ |
| | | Tal | ole II - Derivati (e.g., pu | | | | | | | | | | | Owne | d | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | on Date se (Month/Day/Year) | Execution Date, if any | 4. Transaction of Code (Instr. 8) Sect Acql (A) c Disp | | Numbe erivative ecurities cquired) or sposed (D) nstr. 3, 4 | wative for consent of the consent of | | Exercisable and tion Date I/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4) | | 8. Price o Derivative Security (Instr. 5) | | | | 10. Ownersh Form: Direct (D or Indire (I) (Instr. | Beneficial Ownership ct (Instr. 4) |
| | | | | Code | |) (5) | Da | | Expir | ation | T:41 - | Amou or Numb of | er | | | | | |
| Explanatio | n of Respons | ses: | | Code | V (A | i) (D) | Ex | ercisab | ole Date | | Title | Share | <u> </u> | | | | | |

Explanation of Responses:

- 1. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$83.81 to a high of \$84.66, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.
- 2. Shares are held by J. William Gurley's family partnerships.
- 3. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$85.1 to a high of \$86.09, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.
- 4. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$86.1 to a high of \$86.5, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.
- 5. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$80.855 to a high of

\$81.795, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.

6. Shares are held by J. William Gurley's trust entity.

- 7. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$81.86 to a high of \$82.8, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.
- 8. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$82.93 to a high of \$83.925, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.
- 9. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$83.935 to a high of \$84.92, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.
- 10. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$84.935 to a high of \$85.92, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.
- 11. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 16, 2021. The actual sale prices ranged from a low of \$85.96 to a high of \$86.5, inclusive. The Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.
- 12. Shares are held by Benchmark Capital Management Co. VIII, L.L.C. ("BCMC VIII"). The Reporting Person is a managing member of BCMC VIII, and may be deemed to share voting and investment power over the securities held by such entities. The Reporting Person disclaims the existence of a "group" and disclaims beneficial ownership of the securities, except to the extent of his pecuniary interest in such securities.

/s/ An-Yen Hu, by power of attorney for J. William Gurley 11/18/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.