FORM 3

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

OMB APPROVAL 3235-0104

OMB Number: Estimated average burden

hours per response: 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940									
1. Name and Address of Reporting Person* SPURLOCK STEVEN M		of Event ng Statement /Day/Year) /2021	3. Issuer Name and Ticker or Trading Symbol Confluent, Inc. [CFLT]						
(Last) (First) (Middle) C/O BENCHMARK CAPITAL			Issuer (Check all applicable)	(Check all applicable)				Date of Original Year)	
PARTNERS 2965 WOODSIDE ROAD			Director Officer (give title below)			(specify (6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person	
(Street) WOODSIDE CA 94062							X	Form filed	by More than One Person
(City) (State) (Zip)									
	Table I - N	lon-Deriva	ative Securities Bei	nefic	ially O	vned			
1. Title of Security (Instr. 4)		2. Amount of Securitie Beneficially Owned (Ir 4)			Direct ndirect	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4) 2. Da Expi (Mor			r. Title and Amount of Securities Underlying Derivative Security (Instr.)		4. Conversion or Exercise Price of		5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Num	Amount or Number of Shares		ve	or Indirect (I) (Instr. 5)	3,
Series A Preferred Stock	(1)	(1)	Class B Common Stock ⁽²⁾	29,9	23,944	(1)		I	See footnote ⁽³⁾⁽⁴⁾
Series B Preferred Stock	(1)	(1)	Class B Common Stock ⁽²⁾	4,1	49,480	(1)		I	See footnote ⁽³⁾⁽⁴⁾
Series C Preferred Stock	(1)	(1)	Class B Common Stock ⁽²⁾	93	2,888	(1)		I	See footnote ⁽³⁾⁽⁴⁾
Series D Preferred Stock	(1)	(1)	Class B Common Stock ⁽²⁾	9	,685	(1)		I	See footnote ⁽³⁾⁽⁴⁾
1. Name and Address of Reporting Perso SPURLOCK STEVEN M (Last) (First) C/O BENCHMARK CAPITAL PA	(Middle)								
2965 WOODSIDE ROAD									

1. Name and Address of Reporting Person*

CA

(State)

94062

(Zip)

Cohler Matt

(Street) WOODSIDE

(City)

(Last) (First) (Middle)

C/O BENCHMARK CAPITAL PARTNERS

Street) WOODSIDE	CA	94062
(City)	(State)	(Zip)
1. Name and Addre		Person*
(Last) C/O BENCHM 2965 WOODSI	_	(Middle) AL PARTNERS
(Street) WOODSIDE	CA	94062
(City)	(State)	(Zip)
1. Name and Addre		
(Last)	(First)	(Middle)
C/O BENCHM 2965 WOODSI		AL PARTNERS
~ WOODSI	DE KUAD	
(Street) WOODSIDE	CA	94062
(City)	(State)	(Zip)
1. Name and Addre	ess of Renorting	Person*
	(First) ARK CAPITA	
Hu An-Yen (Last)	(First) ARK CAPITA DE ROAD	(Middle)
(Last) C/O BENCHM. 2965 WOODSI (Street)	(First) ARK CAPITA DE ROAD	(Middle) AL PARTNERS
(Last) C/O BENCHM 2965 WOODSI (Street) WOODSIDE	(First) ARK CAPITA DE ROAD CA (State)	(Middle) AL PARTNERS 94062 (Zip)
(Last) C/O BENCHM. 2965 WOODSI (Street) WOODSIDE (City) 1. Name and Address	(First) ARK CAPITA DE ROAD CA (State)	(Middle) AL PARTNERS 94062 (Zip)
(Last) (Last) (C/O BENCHM. 2965 WOODSI (Street) WOODSIDE (City) 1. Name and Addre	(First) ARK CAPITA DE ROAD CA (State) Pess of Reporting CCHELL (First) ARK CAPITA	(Middle) AL PARTNERS 94062 (Zip) Person*
Hu An-Yen (Last) C/O BENCHM. 2965 WOODSI (Street) WOODSIDE (City) 1. Name and Addret LASKY MIT (Last) C/O BENCHM. 2965 WOODSI	(First) ARK CAPITA DE ROAD CA (State) Pess of Reporting CCHELL (First) ARK CAPITA	(Middle) AL PARTNERS 94062 (Zip) Person*
Hu An-Yen (Last) C/O BENCHM 2965 WOODSI (Street) WOODSIDE (City) 1. Name and Addreted LASKY MITHERSTORY (Last) C/O BENCHM	(First) ARK CAPITA DE ROAD CA (State) ess of Reporting CCHELL (First) ARK CAPITA DE ROAD	(Middle) AL PARTNERS 94062 (Zip) Person*
(Last) (C/O BENCHM. 2965 WOODSI (Street) WOODSIDE (City) 1. Name and Addret LASKY MIT (Last) (C/O BENCHM. 2965 WOODSI	(First) ARK CAPITA DE ROAD CA (State) ess of Reporting CCHELL (First) ARK CAPITA DE ROAD	(Middle) AL PARTNERS 94062 (Zip) Person* (Middle) AL PARTNERS
Hu An-Yen (Last) C/O BENCHM. 2965 WOODSI (Street) WOODSIDE (City) 1. Name and Addret LASKY MIT (Last) C/O BENCHM. 2965 WOODSI (Street) WOODSIDE	(First) ARK CAPITA DE ROAD CA (State) ess of Reporting CCHELL (First) ARK CAPITA DE ROAD CA (State)	(Middle) AL PARTNERS 94062 (Zip) Person* (Middle) AL PARTNERS 94062 (Zip)

WOODSIDE	CA	94062
(City)	(State)	(Zip)
1. Name and Addre	ess of Reporting Pers <u>E</u>	on*
(Last) C/O BENCHM 2965 WOODSI	(First) ARK CAPITAL P DE ROAD	(Middle) ARTNERS
(Street) WOODSIDE	CA	94062
(City)	(State)	(Zip)

Explanation of Responses:

- 1. Each share of Series A Preferred Stock, Series B Preferred Stock, Series C Preferred Stock and Series D Preferred Stock will automatically convert into one share of Class B Common Stock immediately upon the closing of the Issuer's initial public offering (IPO), and has no expiration date.
- 2. Each share of Class B Common Stock held by the Reporting Person will automatically convert into one share of Class A Common Stock upon the sale or transfer of such share of Class B Common Stock, subject to certain exceptions, and in certain other circumstances described in the Issuer's amended and restated certificate of incorporation. Each share of Class B Common Stock will also be convertible at any time at the option of the Reporting Person into one share of Class A Common Stock.
- 3. Shares are held directly by Benchmark Capital Partners VIII, L.P. ("BCP VIII") for itself and as nominee for Benchmark Founders' Fund VIII, L.P. ("BFF VIII"), Benchmark Founders' Fund VIII-B, L.P. ("BFF VIII-B"). Benchmark Capital Management Co. VIII, L.L.C. ("BCMC VIII"), the general partner of BCP VIII, BFF VIII and BFF VIII-B, may be deemed to have sole voting and dispositive power over the securities.
- 4. Eric Vishria, a member of the Issuer's board of directors, Matthew R. Cohler, Peter H. Fenton, J. William Gurley, An-Yen Hu, Mitchell H. Lasky, Chetan Puttagunta, Steven M. Spurlock and Sarah E. Tavel are the managing members of BCMC VIII, and each of them may be deemed to share voting and dispositive power over the securities held by such entities. Each such person and entity disclaims the existence of a "group" and disclaims beneficial ownership of the securities, except to the extent of such person's or entity's pecuniary interest in such securities.

Remarks:

This report is one of three reports, each on a separate Form 3, but relating to the same holdings being filed by entities affiliated with Benchmark and their applicable members.

/s/ An-Yen Hu, by power of attorney for Steven M. Spurlock	06/23/2021
<u>/s/ An-Yen Hu, by power</u> of attorney for Matthew R. <u>Cohler</u>	06/23/2021
/s/ An-Yen Hu, by power of attorney for Peter H. Fenton	06/23/2021
/s/ An-Yen Hu, by power of attorney for J. William Gurley	06/23/2021
/s/ An-Yen Hu	06/23/2021
<u>/s/ An-Yen Hu, by power</u> of attorney for Mitchell H. <u>Lasky</u>	06/23/2021
/s/ An-Yen Hu, by power of attorney for Chetan Puttagunta	06/23/2021
/s/ An-Yen Hu, by power of attorney for Sarah E. Tavel	06/23/2021
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

 $Note: File \ three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS, that each entity listed on Schedule A attached hereto (each a "Granting Entity," and collectively the "Granting Entities") and each person listed on Schedule B attached hereto (each, a "Granting Equity Holder" and collectively, the "Granting Equity Holders"), hereby constitutes and appoints AN-YEN E. HU its true and lawful attorney-in-fact (the "Attorney-in-Fact") to act for and on behalf of such person in its own capacity and in its capacity as a manager, member, general partner or similar control person ("Control Person") of any other Granting Entity to:

- a. execute contracts, agreements, instruments, certificates and documents that arise in the ordinary course of business on behalf of such person in its own capacity and in its capacity as a Control Person in accordance with and subject to the provisions of the relevant governing documents of such person and applicable law, including, but not limited to, stock powers, stock purchase agreements, voting agreements, co-sale agreements, investor rights agreements, management rights agreements, proxies, ballots, indemnification agreements, waivers, stockholder written consents or amendments or modifications to any of the foregoing, and documents related to opening and maintaining bank and brokerage accounts;
- b. execute, individually or jointly with any other reporting persons, any and all reports, notices, communications and other documents (including, but not limited to, reports or filings on Form ADV, Form D, Schedule 13D, Schedule 13G, Form 13-F, Form 13H, Form 3, Form 4, Form 5 and any applicable registration statements) that such Granting Entity or Granting Equity Holder may be required to file with any foreign or domestic regulatory authority, including, but not limited to, the United States Securities and Exchange Commission pursuant to the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Company Act of 1940 or the Investment Advisers Act of 1940, each as amended and with the implementing rules and regulations thereto (collectively, the "Reports") with respect to such person's (i) status as an officer, member or director of, or (ii) ownership of, or transactions in, securities of, any entity whose securities are beneficially owned (directly or indirectly) by such person;
- c. do and perform any and all acts for and on behalf of such Granting Entity or Granting Equity Holder that may be necessary or desirable to complete and execute any such Reports and timely file such forms, reports, notices and schedules with the United States Securities and Exchange Commission and any stock exchange or other domestic or foreign authority; and
- d. take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, such Granting Entity or Granting Equity Holder, it being understood that the documents executed by such attorney-in-fact on behalf of such person, pursuant to this Power of Attorney, shall be in such form and shall contain such terms and conditions as such attorney in-fact may approve in his discretion.

Each Granting Entity and Granting Equity Holder hereby grants to such attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary, and proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as such person might or could do if personally present, with full power of revocation, hereby ratifying and confirming all that such attorney-in-fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. Each Granting Entity and Granting Equity Holder acknowledges that no such attorney in-fact, in serving in such capacity at the request of such person, is hereby assuming, nor is any other Granting Entity or Granting Equity Holder hereby assuming, any of such person's responsibilities to comply with Section 16 or Section 13 of the Securities Exchange Act of 1934 or otherwise.

One or more additional Granting Entities or Granting Equity Holders may become a party to this Power of Attorney after the date hereof without the consent of any of the other parties hereto by executing a counterpart to this Power of Attorney. Schedule A and Schedule B shall be amended from time to time by the attorney-in-fact to reflect the addition or removal of any Granting Entity or Granting Equity Holder.

This Power of Attorney shall remain in full force and effect with respect to a Granting Entity or a Granting Equity Holder until the delivery by such Granting Entity or Granting Equity Holder to the Attorney-in-Fact at his last known business address of a written revocation, in whole or in part, of this Power of Attorney.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed effective as of July 13, 2020.

GRANTING ENTITIES

BENCHMARK CAPITAL MANAGEMENT CO. VIII, L.L.C.

By: /s/ Steven M. Spurlock

Name: Steven M. Spurlock Title: Managing Member

BENCHMARK CAPITAL PARTNERS VIII, L.P.

By: Benchmark Capital Management Co. VIII, L.L.C.,

its general partner

By: /s/ Steven M. Spurlock

Name: Steven M. Spurlock Title: Managing Member

BENCHMARK FOUNDERS' FUND VIII, L.P.

By: Benchmark Capital Management Co. VIII, L.L.C.,

its general partner

By: /s/ Steven M. Spurlock

Name: Steven M. Spurlock Title: Managing Member

BENCHMARK FOUNDERS' FUND VIII-B, L.P.

By: Benchmark Capital Management Co. VIII, L.L.C.,

its general partner

By: /s/ Steven M. Spurlock

Name: Steven M. Spurlock Title: Managing Member

GRANTING EQUITY HOLDERS

By: /s/ Matthew R. Cohler

Matthew R. Cohler

By: /s/ Peter H. Fenton

Peter H. Fenton

By: /s/ J. William Gurley

J. William Gurley

By: /s/ Mitchell H. Lasky

Mitchell H. Lasky

By: /s/ Chetan Puttagunta

Chetan Puttagunta

By: /s/ Steven M. Spurlock

Steven M. Spurlock

/s/ Sarah E. Tavel

Sarah E. Tavel

By: /s/ Eric H. Vishria

Eric H. Vishria

SCHEDULE A

By:

GRANTING ENTITIES

BENCHMARK CAPITAL MANAGEMENT CO. VIII, L.L.C.

BENCHMARK CAPITAL PARTNERS VIII, L.P.

BENCHMARK FOUNDERS' FUND VIII, L.P.

BENCHMARK FOUNDERS' FUND VIII-B, L.P.

SCHEDULE B

GRANTING EQUITY HOLDERS

Matthew R. Cohler Peter H. Fenton J. William Gurley Mitchell H. Lasky Chetan Puttagunta Steven M. Spurlock Sarah E. Tavel Eric H. Vishria