# SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).
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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol

1. Name and Address of Reporting Person <sup>*</sup> SPURLOCK STEVEN M				2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Confluent, Inc.</u> [ CFLT ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner								
(Last) (First) (Middle)						Date of Earliest Transaction (Month/Day/Year) L/12/2021								Officer (give title Other (specify below) below)					
(Street) WOODSIDE CA 94062					4. If Amendment, Date of Original Filed (Month/Day/Year) Eine) Form filed by One Reporting Person Form filed by More than One Report								rson						
(City) (State) (Zip)				X Person															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Yea			2A. Deemed Execution Date, if any (Month/Day/Year)			3. Transaction Code (Instr. 8)					quired (A) or (Instr. 3, 4 and 5		Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						с	ode	v	Amou	unt	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)						
Class A Common Sto	ock	11/15/2021					s		6	08	D	\$85.24	425 <sup>(2)</sup>	<sup>2)</sup> 246		I		See footnote <sup>(4)</sup>	
Class A Common Sto	ock	11/15/2021					s		24	46	D	\$86.29	903 <sup>(3)</sup>	( <sup>3)</sup> <b>0</b>		I		See footnote <sup>(4)</sup>	
Class A Common Sto	ock	11/12/2021	L				<mark>J</mark> (1)		8	92	A	\$0.0	.00 213,731		3,731		Ι	See footnote <sup>(5)</sup>	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Trans Code 8)		on of tr. Deriv	r osed ) :. 3, 4	Expiration Date (Month/Day/Year) ies ed		Am Sec Und Der Sec	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Price of rivative curity str. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e Ownersh 5 Form: Ily Direct (D or Indirec 1 (I) (Instr.		Beneficial Ownership (Instr. 4)			
			Code	v	(A)	(D)	Dat	te ercisa		Expirati Date	on Titl	Amou or Numb of Share	er						
1. Name and Address of SPURLOCK ST											·								
(Last) ( 2965 WOODSIDE F	First) ROAD	(Middle)																	
(Street) WOODSIDE	CA	94062		_															
(City) (	State)	(Zip)																	
1. Name and Address of Cohler Matt	Reporting Person*																		
(Last) ( 2965 WOODSIDE F	First) ROAD	(Middle)																	
(Street) WOODSIDE	CA	94062		_															
(City) (	State)	(Zip)																	

FENTON PETER H

(Last) 2965 WOODSIDE	(First) ROAD	(Middle)						
(Street) WOODSIDE	СА	94062						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person* GURLEY J WILLIAM								
(Last) 2965 WOODSIDE	(First) ROAD	(Middle)						
(Street) WOODSIDE	CA	94062						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person <sup>*</sup> Hu An-Yen								
(Last) 2965 WOODSIDE	(First) ROAD	(Middle)						
(Street) WOODSIDE	CA	94062						
(City)	(State)	(Zip)						
1. Name and Address of LASKY MITC								
(Last) 2965 WOODSIDE	(First) ROAD	(Middle)						
(Street) WOODSIDE	СА	94062						
(City)	(State)	(Zip)						
	1. Name and Address of Reporting Person* <u>Puttagunta Chetan</u>							
(Last) 2965 WOODSIDE	(First) ROAD	(Middle)						
(Street) WOODSIDE	СА	94062						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person <sup>*</sup> Tavel Sarah E								
(Last) 2965 WOODSIDE	(First) ROAD	(Middle)						
(Street) WOODSIDE	СА	94062						
(City) Explanation of Respon	(State)	(Zip)						

#### Explanation of Responses:

1. Represents a pro-rata, in-kind distribution by BCP VIII and its affiliated funds, not for additional consideration, to its partners, including BCMC VIII and its respective members and assignees. 2. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 15, 2021. The actual sale prices ranged from a low of \$84.95 to a high of \$85.94 inclusive. Each Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.

3. Represents the weighted-average sale price per share of a series of transactions, all of which were executed on November 15, 2021. The actual sale prices ranged from a low of \$85.95 to a high of \$86.595, inclusive. Each Reporting Person undertakes to provide upon request of the SEC Staff, Confluent, Inc. or any security holder of Confluent, Inc. full information regarding the number of shares sold at each price within the range. The amount reflected has been rounded to 4 decimal points.

4. Shares held by Chetan Puttagunta.

5. Shares held by Chetan Puttagunta's trust entity.

#### **Remarks:**

This report is one of four reports, each on a separate Form 4, but relating to the same holdings being filed by entities affiliated with Benchmark and their applicable members.

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<u>/s/ An-Yen Hu, by power of</u> attorney for Steven M. <u>Spurlock</u>	<u>11/16/2021</u>
<u>/s/ An-Yen Hu, by power of</u> <u>attorney for Matthew R.</u> <u>Cohler</u>	<u>11/16/2021</u>
<u>/s/ An-Yen Hu, by power of</u> attorney for Peter H. Fenton	<u>11/16/2021</u>
<u>/s/ An-Yen Hu, by power of</u> attorney for J. William Gurley	<u>11/16/2021</u>
<u>/s/ An-Yen Hu</u>	<u>11/16/2021</u>
<u>/s/ An-Yen Hu, by power of</u> attorney for Mitchell H. Lasky	<u>11/16/2021</u>
<u>/s/ An-Yen Hu, by power of</u> <u>attorney for Chetan Puttagunta</u>	<u>11/16/2021</u>
<u>/s/ An-Yen Hu, by power of attorney for Sarah E. Tavel</u>	<u>11/16/2021</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.